# AI ETHICS & RESPONSIBLE AI

- Carolyn Pfeiffer
- Senior Director
- Johnson & Johnson Innovative Medicine

AGENDA

Things I've learned along the way

Responsible and Ethics

Al Ethics & Responsible Al

Healthcare examples

Errors and watchouts

Al Principles and Governance

Questions

First job: stock worker in a drug store Worst job: dishwasher in a restaurant Best job: Senior Director, Data Science

**College:** what you study in college doesn't mean you will do this for the rest of your life

**Jobs**: grow your experience – take note of what you don't like to do

**Meetings**: the loudest voice in the room is the one that doesn't say anything

Titles: don't matter

Change: organize for it and always expect it

Mistakes: figure out what happened so you can

learn from it

**Communicate**: communicate, communicate, communicate

Transparency: embrace transparency in all you do

**Excuses**: stop making them

Know when to lead

Know when to manage

Remember what you learned in Elementary Education

## THINGS I'VE LEARNED ALONG THE WAY

Responsible: having an obligation to do something

Ethics: the
difference between
right and wrong
and doing the right
thing

DEFINING
RESPONSIBLE &
ETHICS

#### Ernst & Young to Pay \$100 Million Fine After Auditors Cheated on Ethics Exams

The S.E.C. said the cheating involved hundreds of the firm's

workers from imposed by

Starbucks sued for alleged deceptive marketing of its '100% ethically' sourced coffee

#### Deutsche Bank Will Pay \$125 Million Over Bribery Violations

The German lender will enter a deferred prosecution agreement to resolve charges stemming from its attempts to win business in several countries.

## Slammed for bribery, Siemens continued to ignore red flags

The collapse of Enron and the dark side of business

Boeing hid questionable parts from regulators that may have been installed in 737 Max planes, new whistleblower alleges

Former Wells Fargo executive avoids prison time for her role in fake-accounts fraud

Ernst & Young to Pay \$100 Million Fine After Auditors Cheated on Ethics Exams - The New York Times (nytimes.com); Starbucks sued for alleged deceptive marketing of its '100% ethically' sourced coffee | CNN Business; Deutsche Bank Said to Pay \$100 Million Over Bribery Charges - The New York Times (nytimes.com); Slammed for bribery, Siemens continued to ignore red flags | AP News The collapse of Enron and the dark side of business-BBC; Boeing hid questionable parts from regulators that may have been installed in 737 Max planes, new whistleblower alleges | CNN Business; Former Wells Fargo executive avoids prison time for her role in fake-accounts fraud | CNN Business

### RESPONSIBLE AND ETHICS EXAMPLES OF WRONGDOING

## AI [ALGORITHM] ERRORS

## How an algorithm denied food to thousands of poor in India's Telangana

It adopted AI in welfare schemes to weed out ineligible ones, but has

wrongf Privacy and AI: Schufa algorithm condemned by the CJEU

In December 2023, the Court of Justice of the European Union (CJEU) issued <u>Judgement C-634/21 on the Schufa case.</u> This landmark ruling is set to shape the GDPR-friendly approach to future **Al-based businesses**. At a pivotal moment where Al takes center stage in the European Institutions' agenda, with efforts towards the adoption of the renowned European Regulation on Artificial Intelligence (the Al Act), the CJEU's arguments provide significant GDPR interpretations that will **impact all Al-based personal data processing activities**.

Google Apologizes After Controversy Over AI Image Feature

Volkswagen to Spend Up to \$14.7 Billion to Settle Allegations of Cheating Emissions Tests and Deceiving

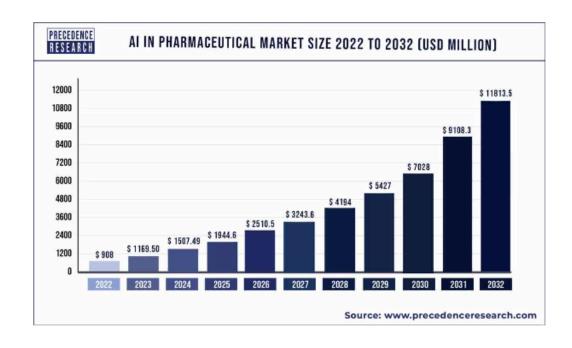
The delivery rider who took on his faceless boss

A tech-savvy Uber Eats worker was sick of the algorithms that controlled his day. So he decided to fight back

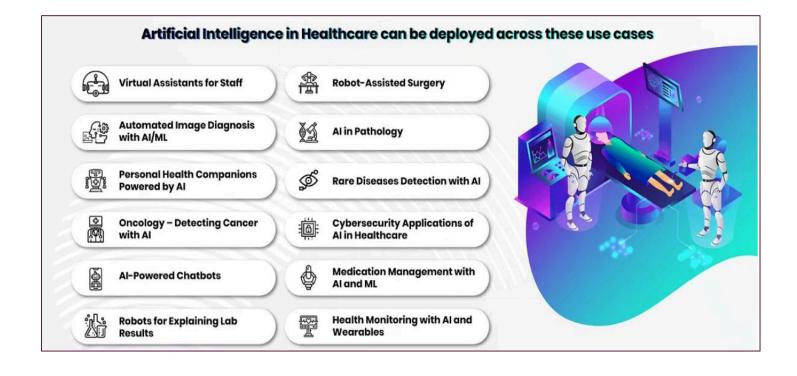
How an algorithm denied food to thousands of poor in India's Telangana | Poverty and Development | Al Jazeera; Privacy and Al: Schufa algorithm condemned by the CJEU (datenschutz-notizen.de); Google Apologizes After Controversy Over Al Image Feature — The InformationOffice of Public Affairs | Volkswagen to Spend Up to \$14.7 Billion to Settle Allegations of Cheating Emissions Tests and Deceiving Customers on 2.0 Liter Diesel Vehicles | United States Department of Justice The delivery rider who took on his faceless boss (ft.com)

#### AI IN HEALTHCARE

- Al in Healthcare is on the rise
- At present, 30+ Pharma Corporations applying advanced AI in healthcare and drug discovery
- 60% of the healthcare companies across the globe implement AI
- The global AI in pharmaceuticals market was \$0.9 billion in 2021 and projected to reach \$9 billion in 2030



Al in Pharmaceutical Market Size, Share, Report 2023-2032 (precedenceresearch.com)



# AI IN HEALTHCARE EXAMPLES

## U.K. researchers warn about biases in medical devices, health care algorithms

## Dissecting racial bias in an algorithm used to manage the health of populations

Friend or foe: A closer look at the role of health care algorithms in racial and ethnic disparities

Penn Medicine-ECRI study points to ways to reduce potential for racial bias, inequity when using algorithms to inform clinical care

# Why AI Failed to Live Up to Its Potential During the Pandemic

# Where did IBM go wrong with Watson Health?

U.K. researchers warn about biases in medical devices, algorithms (statnews.com); Dissecting racial bias in an algorithm used to manage the health of populations - PubMed (nih.gov); Friend or foe: A closer look at the role of h | EurekAlert!; Why Al Failed to Live Up to Its Potential During the Pandemic (hbr.org); Where did IBM go wrong with Watson Health? (qz.com)

ERRORS AND WATCHOUTS: AI IN HEALTHCARE

Principles – rules

Al principles | OECD

Google Al Principles - Google Al

Al Ethics | IBM

**Empowering responsible AI** 

practices- Microsoft

Al ethics - gsk.ai

Governance – guardrails

Implementing Al Governance: from Framework to Practice

The shape of AI governance to come – KPMG

PDPC | Singapore's Approach to Al Governance

Al Governance Frameworks For Responsible Al - Gartner

AI PRINCIPLES AND GOVERNANCE